04-10-03

PTO/SB/30 (08-00)

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U.S. Patent and Trademina Office; U.S. DEPARTMENT OF COMMERCE

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REQUEST
FOR

FOR

TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA)

|                      |                     | 41/9,                 |
|----------------------|---------------------|-----------------------|
| Application Number   | 09/757,555          | DEOENTER              |
| Filing Date          | January 9, 2001     | RECEIVER              |
| First Named Inventor | Levon M. KHACHIGIAN | APR 1 1 2006 C        |
| Group Art Unit       | 1635                | WW 1 1 2003           |
| Examiner Name        | J. Epps             | TECH CENTER 1600/2000 |
| Attorney Docket No.  | 529282000220        | 7                     |

This is a Request for continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

| 1.  | Submis   | sion re | quired under 37 C.F.R. § 1.114  |               |               |               |          |              |           |
|---|--|---------|---|---------------|---------------|---------------|----------|--------------|-----------|
|   | a.   Previously submitted  |         |   |               |               |               |          |              |           |
|   | i.   |         | Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on   |               |               |               |          |              |           |
|   | ii.  | П       | (Any unentered amendment(s) referred to above will be entered)  Consider the arguments in the Appeal Brief or Reply Brief previously filed on |               |               |               |          |              |           |
|   | iii.   | H       | Other:  |               | , p., p., p.  | ,             |          | •            |           |
|   | b. Enclosed  |         |   |               |               |               |          |              |           |
|   | i.   | ×       | Amendment/Reply (7 pages)   |               |               |               |          |              |           |
|   | ii.  |         | Affidavit(s)/Declaration(s)   |               |               |               |          |              |           |
|   | iii.   |         | Information Disclosure Statement (IDS   | S)            |               |               |          |              |           |
|   | iv.  | ×       | Other:  |               |               |               |          |              |           |
|   |  |         | 1) Copy of Submission of Formal Drav  |               | sheets of     | formal dr     | awings   | submitted on | 10/23/02  |
|   |  |         | and copy of return receipt postcard 2) Return receipt postcard  | (4 pages)     |               |               |          |              |           |
| 2.  | Miscella   | aneous  | ]   |               |               |               |          |              |           |
|   | a.   Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.1039c) for a period of                                      |         |   |               |               |               |          |              |           |
|   | . —  |         | <ol><li>(Period of suspension shall not exceed 3 month</li></ol>  | s; Fee under  | 37 C.F.R. § 1 | .17(I) requir | ed)      |              |           |
|   | b. Ц   | Other   | •   |               |               |               |          |              |           |
| 3.  | Fees   | The RCE | fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.  | R. § 1.114 wh | en the RCE is | s filed.      |          |              |           |
|   | a. 🗷   |         | Director is hereby authorized to charge th  |               |               |               |          |              | - i dli4- |
|   | Deposit Account No. 03-1952 Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.  i. 🔀 RCE fee required under 37 C.F.R. § 1.17(e) |         |   |               |               |               |          |              |           |
|   | ii.  |         | Extension of time fee (37 C.F.R. §§ 1.136 a   | . ,           | 04/11/20      | 03 CV0111     | 0000     | 0005 031952  | 09757555  |
|   | iii.   |         | Other:  | ·             | 01 FC:18      | 01            | 750.00   | CH           |           |
|   | b. 🗆   | Chec    | k in the amount of \$ enclosed  |               |               |               |          |              |           |
|   | с. 🛘   | Paym    | ent by credit card (Form PTO-2038 enclosed)   |               |               |               |          |              |           |
|   |  | ·       | SIGNATURE OF APPLICANT,   | ATTORN        | IEY. OR       | AGENT I       | REQU     | IRED         |           |
| Name  | e (Print/Ty  | pe)     | Shantanu Basu   |               | tration No.   |               |          | 43,318       |           |
| Signa   | ature  |         | Thantany Dark   | Date          |               | April 8, 2    | 003      |              |           |
|   | CERTIFICATE OF MAILING BY "EXPRESS MAIL"   |         |   |               |               |               |          |              |           |
| Express Mail Label No.: EV093218056US Date of Deposit: April 8, 2003  |  |         |   |               |               |               |          |              |           |
| I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 |  |         |   |               |               |               |          |              |           |
|   | on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.   |         |   |               |               |               |          |              |           |
|   |  |         |   |               | $\triangle$   | <u> </u>      |          |              |           |
|   |  |         | Tomoro Al   | 200           |               |               | <b>~</b> |              |           |

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will very depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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TRANSMITTAL FOR FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

| 1                    | Complete if Know    | wn                    |
|----------------------|---------------------|-----------------------|
| Application Number   | 09/757,555          | RECEIVE               |
| Filing Date          | January 9, 2001     | 1 1 2003              |
| First Named Inventor | Levon M. KHACHIGIAN | APR 1 2000            |
| Examiner Name        | J. Epps             | TECH CENTER 1600 2900 |
| Group Art Unit       | 1635                | I EULIOS.             |
| Attorney Docket No.  | 529282000220        | <del></del>           |

| METHOD OF PAYMENT   | <del>                                     </del> | FEE CALCULATION (continued) |             |             |  |           |
|---|--|-----------------------------|-------------|-------------|--|-----------|
| 1. The Commissioner is hereby authorized to charge indicated  | 2 400  | 3. ADDITIONAL FEES          |             |             |  |           |
| fees and credit any overpayments to:  | Large  | Entity                      | Small       | Entity      |  |           |
| Account 03-1952<br>Number   | Fee<br>Code                                      | Fee<br>(\$)                 | Fee<br>Code | Fee<br>(\$) | Fee Description  | Fee Paid  |
| Deposit Account Morrison & Foerster LLP   |  | 130                         | 2051        | 65          | Surcharge - late filing fee or oath                              |           |
| Name Channel Ann Additional Fee Described Under 27 CFD 4.45 and 4.47  | 1051   | 50                          | 2052        | 25          | Surcharge - late provisional filing                              |           |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17   |  |                             |             |             | fee or cover sheet   |           |
| Applicant claims small entity status. See 37 CFR 1.27   |  | 130                         | 1053        | 130         | Non-English specification  For filing a request for ex parte     |           |
| 2. Payment Enclosed:  | 1812   | 2,520                       | 1812        | 2,520       | reexamination  |           |
| ☐ Check ☐ Credit Card ☐ Money Order ☐ Other   | 1804   | 920*                        | 1804        | 920°        | Requesting publication of SIR prior<br>to Examiner action        |           |
| FEE CALCULATION   | 1805   | 1,840*                      | 1805        | 1,840*      | Requesting publication of SIR after<br>Examiner action           |           |
| 1. BASIC FILING FEE   | 1251   | 110                         | 2251        | 55          | Extension for reply within first month                           |           |
|   | 1252   | 410                         | 2252        | 205         | Extension for reply within second<br>month                       |           |
| Large Entity Small Entity Fee Fee Fee Fee Description   | 1253   | 930                         | 2253        | 465         | Extension for reply within third<br>month                        |           |
| Code (\$) Code (\$) Fee<br>Paid   | 1254   | 1,450                       | 2254        | 725         | Extension for reply within fourth month                          |           |
| 1001 750 2001 375 Utility filing fee  | 1255   | 1,970                       | 2255        | 985         | Extension for reply within fifth month                           |           |
| 1002 330 2002 165 Design filing fee   | 1401   | 320                         | 2401        | 160         | Notice of Appeal   | <b></b>   |
| 1003 510 2003 255 Plant filing fee  | 1402   | 320                         | 2402        | 160         | Filing a brief in support of an appeal                           | <b>——</b> |
| 1004 750 2004 375 Reissue filing fee  | 1403   | 280                         | 2403        | 140         | Request for oral hearing   |           |
| 1005 160 2005 80 Provisional filing fee   | 1451   | 1,510                       | 1451        | 1,510       | Petition to institute a public use<br>proceeding                 |           |
|   | 1452   | 110                         | 2452        | 55          | Petition to revive - unavoidable                                 |           |
| SUBTOTAL (1) (\$)0  | 1453   | 1,300                       | 2453        | 650         | Petition to revive - unintentional                               |           |
| 2. EXTRA CLAIM FEES   |  | 1,300                       | 2501        | 650         | Utility issue fee (or reissue)                                   |           |
| Extra Fee from Fee Paid<br>Claims below   | 1502   | 470                         | 2502        | 235         | Design issue fee   |           |
| Total Claims 8 -20** = 0 x 18 = \$0   | 1503   | 630                         | 2503        | 315         | Plant issue fee  |           |
| Independent 1 -3** = 0 x 84 = \$0   | 1460   | 130                         | 1460        | 130         | Petitions of the Commissioner                                    |           |
| Multiple Dependent 280 = \$0  |  | 50                          | 1807        | 50          | Petitions related to provisional applications                    |           |
|   | 1806   | 180                         | 1806        | 180         | Submission of Information<br>Disclosure Stmt                     |           |
| Large Entity Small Entity   |  |                             |             |             | Recording each patent assignment                                 |           |
| Fee Fee Fee Fee Description Code (\$) Code (\$)   | 8021   | 40                          | 8021        | 40          | per properties (times number of properties)                      |           |
| 1202 18 2202 9 Claims in excess of 20   | 1809   | 750                         | 2809        | 375         | Filing a submission after final rejection                        |           |
| 1202 10 2202 9 Claims in excess of 20   | 1009   | 730                         | 2009        | 3/3         | (37 CFR § 1.129(a))  |           |
| 1201 84 2201 42 Independent claims in excess of 3   | 1810   | 750                         | 2810        | 375         | For each additional invention to be examined (37 CFR § 1.129(b)) |           |
| 1203 280 2203 140 Multiple dependent claims, if not paid  | 1801   | 750                         | 2801        | 375         | Request for Continued Examination (RCE)                          | 750       |
| 1204 84 2204 42 **Reissue independent claims over original patent   | 1802   | 900                         | 1802        | 900         | Request for expedited examination of a design application        |           |
| original patent  1205 18 2205 9 **Reissue claims in excess of 20 and over original patent                                 |  |                             |             |             |  |           |
|   | Other fee  | e (specify)                 |             |             |  |           |
| SUBTOTAL (2) (\$)0  |  |                             |             |             |  |           |
| ** or number previously paid, if greater; For relssues, see above. *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)750 |  |                             |             |             |  |           |
| SUBMITTED BY Complete (if applicable)   |  |                             |             |             |  |           |

(\$)750.00

Registration No.

(Attorney/Agent)

43,318

Telephone

Date

(650) 813-5995

April 8, 2003

Name (Print/Type)

Signature

Shantanu Basu

thanten Bary





ATTORNEY DOCKET: 529282000220

00/050 555

09/757,555

FILING DATE:

January 9, 2001

INVENTOR(S):

SERIAL NO.:

Levon M. KHACHIGIAN

TITLE: INHIBITION OF PROLIFERATION OF CELLS

## Papers enclosed herewith:

- 1. Transmittal (1 page)
- 2. Fee Transmittal (1 page in duplicate)
- 3. Amendment (9 pages)
- 4. Petition for Extension of Time (1 page)
- 5. Declaration (4 pages)
- 6. Curriculum Vitae (47 pages)
- 7. Submission of Formal Drawings (1 page)
- 8. Formal Drawings (2 sheet)

MAILING DATE: October 23, 2002

ATTY/Secy: SXB7/mxp4



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Date of Deposit: October 23, 2002

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Tamara Alcaraz

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Levon M. KHACHIGIAN

Serial No.:

09/757,555

Filing Date:

January 9, 2001

For:

INHIBITION OF PROLIFERATION OF

CELLS

Examiner: J. Epps

Group Art Unit: 1635

COPY

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APR 1 1 2003

TECH CENTER 1600/2900

## SUBMISSION OF FORMAL DRAWINGS

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Enclosed are 2 sheet(s) of formal drawings in connection with the above-identified application.

Respectfully submitted,

Dated:

October 23, 2002

By:

Shantanu Basu

Registration No. 43,318

Morrison & Foerster LLP 755 Page Mill Road

Palo Alto, California 94304-1018

Telephone: (650) 813-5995 Facsimile: (650) 494-0792